

**Investor Complaints for the Stock Brokers Registration of Sanctum Wealth Private Limited**

**Data for the month ending January 2026**

| SN | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| 1  | 2                       | 3                                   | 4                         | 5             | 6         | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1  | Directly from Investors | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 2  | SEBI (SCORE S)          | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 3  | Stock Exchanges         | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 4  | Other Sources (if any)  | 0                                   | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 5  | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>      | <b>0</b>  | <b>0</b>                          | <b>0</b>                       | <b>0</b>                           |

**Trend of monthly disposal of complaints**

| SN | Month  | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------|-------------------------------------|----------|-----------|-----------|
| 1  | 2      | 3                                   | 4        | 5         | 6         |
| 1  | Apr-25 | 0                                   | 0        | 0         | 0         |
| 2  | May-25 | 0                                   | 0        | 0         | 0         |
| 3  | Jun-25 | 0                                   | 0        | 0         | 0         |
| 4  | Jul-25 | 0                                   | 0        | 0         | 0         |
| 5  | Aug-25 | 0                                   | 0        | 0         | 0         |
| 6  | Sep-25 | 0                                   | 0        | 0         | 0         |
| 7  | Oct-25 | 0                                   | 0        | 0         | 0         |
| 8  | Nov-25 | 0                                   | 0        | 0         | 0         |
| 9  | Dec-25 | 0                                   | 0        | 0         | 0         |

|    |                    |   |   |   |   |
|----|--------------------|---|---|---|---|
| 10 | Jan-26             | 0 | 0 | 0 | 0 |
|    | <b>Grand Total</b> | 0 | 0 | 0 | 0 |

**Trend of annual disposal of complaints**

| <b>SN</b> | <b>Year</b>        | <b>Carried forward from previous Year</b> | <b>Received during the year</b> | <b>Resolved During the year</b> | <b>Pending at the end of the year</b> |
|-----------|--------------------|---|---------------------------------|---------------------------------|---------------------------------------|
| <b>1</b>  | <b>2</b>           | <b>3</b>                                  | <b>4</b>                        | <b>5</b>                        | <b>6</b>                              |
| 1         | 2017-18            | 0   | 1                               | 1                               | 0                                     |
| 2         | 2018-19            | 0   | 4                               | 3                               | 1                                     |
| 3         | 2019-20            | 1   | 0                               | 1                               | 0                                     |
| 4         | 2020-21            | 0   | 2                               | 2                               | 0                                     |
| 5         | 2021-22            | 0   | 4                               | 4                               | 0                                     |
| 6         | 2022-23            | 0   | 1                               | 1                               | 0                                     |
| 7         | 2023-24            | 0   | 0                               | 0                               | 0                                     |
| 8         | 2024-25            | 0   | 0                               | 0                               | 0                                     |
| 9         | 2025-26            | 0   | 0                               | 0                               | 0                                     |
|           | <b>Grand Total</b> | 1   | 12                              | 12                              | 1                                     |