## **Investor Complaints for the Research Analyst Registration of Sanctum Wealth Private Limited**

Data for the month ending December 2023

| SN | Received from                 | Pending<br>at the end<br>of last<br>month | Received | Resolved* | Total<br>Pending# | Pending complaints > 3months | Average<br>Resolution<br>time^<br>(in days) |
|----|-------------------------------|-------------------------------------------|----------|-----------|-------------------|------------------------------|---------------------------------------------|
| 1  | 2                             | 3                                         | 4        | 5         | 6                 | 7                            | 8                                           |
| 1  | Directly<br>from<br>Investors | 0                                         | 0        | 0         | 0                 | 0                            | 0                                           |
| 2  | SEBI<br>(SCORE<br>S)          | 0                                         | 0        | 0         | 0                 | 0                            | 0                                           |
| 3  | Other<br>Sources<br>(if any)  | 0                                         | 0        | 0         | 0                 | 0                            | 0                                           |
| 5  | Grand<br>Total                | 0                                         | 0        | 0         | 0                 | 0                            | 0                                           |

Trend of monthly disposal of complaints

| SN | Month          | Carried<br>forward<br>from<br>previous<br>month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------------------|----------|-----------|-----------|
| 1  | 2              | 3                                               | 4        | 5         | 6         |
| 1  | Apr-23         | 0                                               | 0        | 0         | 0         |
| 2  | May-23         | 0                                               | 0        | 0         | 0         |
| 3  | Jun-23         | 0                                               | 0        | 0         | 0         |
| 4  | Jul-23         | 0                                               | 0        | 0         | 0         |
| 5  | Aug-23         | 0                                               | 0        | 0         | 0         |
| 6  | Sep-23         | 0                                               | 0        | 0         | 0         |
| 7  | Oct-23         | 0                                               | 0        | 0         | 0         |
| 8  | Nov-23         | 0                                               | 0        | 0         | 0         |
| 9  | Dec-23         | 0                                               | 0        | 0         | 0         |
|    | Grand<br>Total | 0                                               | 0        | 0         | 0         |

Trend of annual disposal of complaints

|    |   | amidal disp    | Carried<br>forward       |          |          |          |
|----|---|----------------|--------------------------|----------|----------|----------|
| SN |   | Year           | from<br>previous<br>Year | Received | Resolved | Pending# |
|    | 1 | 2              | 3                        | 4        | 5        | 6        |
|    | 1 | 2018-19        | 0                        | 0        | 0        | 0        |
|    | 2 | 2019-20        | 0                        | 0        | 0        | 0        |
|    | 3 | 2020-21        | 0                        | 0        | 0        | 0        |
|    | 4 | 2021-22        | 0                        | 0        | 0        | 0        |
|    | 5 | 2022-23        | 0                        | 0        | 0        | 0        |
|    | 6 | 2023-24        | 0                        | 0        | 0        | 0        |
|    |   | Grand<br>Total | 0                        | 0        | 0        | 0        |