<u>Investor Complaints for the Investment Advisor Services of Sanctum Wealth Private Limited</u>

Data for the month ending December 2023

| SN | Received from | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints > 3months | Average Resolution time^ (in days) |
|----|-------------------------------|--|----------|-----------|-------------------|------------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORE S) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|---|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Apr-23 | 0 | 0 | 0 | 0 |
| 2 | May-23 | 0 | 0 | 0 | 0 |
| 3 | Jun-23 | 0 | 0 | 0 | 0 |
| 4 | Jul-23 | 0 | 0 | 0 | 0 |
| 5 | Aug-23 | 0 | 0 | 0 | 0 |
| 6 | Sep-23 | 0 | 0 | 0 | 0 |
| 7 | Oct-23 | 0 | 0 | 0 | 0 |
| 8 | Nov-23 | 0 | 0 | 0 | 0 |
| 9 | Dec-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous Year | Received | Resolved | Pending# |
|----|----------------|--|----------|----------|----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | 2018-19 | 0 | 0 | 0 | 0 |
| 2 | 2019-20 | 0 | 0 | 0 | 0 |
| 3 | 2020-21 | 0 | 0 | 0 | 0 |
| 4 | 2021-22 | 0 | 0 | 0 | 0 |
| 5 | 2022-23 | 0 | 0 | 0 | 0 |
| 6 | 2023-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |